## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.

: 10/507,058

Confirmation No.

: 8460

Filing Date

: September 9, 2004

Art Unit

: 3764

First Named Inventor: Salvatore Calabrese

Examiner

: Michael A. Brown

Customer No.

: 22469

Docket No.

: 3006051-0005-PCT-US

Title:

: CERVICAL COLLAR HAVING ENHANCED LATERAL SUPPORT

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We submit herewith Forms PTO/SB/08a and PTO/SB/08b. They are submitted to comply with the Applicant's duty of disclosure under 37 C.F.R. §1.56 and are believed related to this application. We are submitting these in the spirit of voluntary disclosure.

An International Search Report, dated March 2, 2004 and a European Search Report dated July 6, 2006 are included in the Supplemental Search Report. Authorization is given to charge the \$180.00 associated fee for filing these with the present Information Disclosure Statement to Deposit Account No. 13-3405. If it is determined that any additional fees are due, authorization is given to charge such fee to Deposit Account Number 13-3405.

It is accordingly requested that the Information Disclosure Statement be officially entered in the file of this case and that appropriate notification be made that it was considered in the prosecution of the case.

Respectfully submitted,

SCHNADER HARRISON SEGAL & LEWIS LLP

Dated: 12/6/04

By:

Yoan T. Kluger

Reg. No. 38,940

1600 Market Street, Suite 3600

Philadelphia, PA 19103

Tel: (215) 751-2357

Fax: (215) 751-2205

E-mail: ikluger@schnader.com

Attorneys for Applicant

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

S	Substitute for form 1449A/PTO			Complete if Known		
	INFORMATION DIGGS			Application Number	10/507,058	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	September 9, 2004	
				First Named Inventor	Salvatore Calabrese	
				Art Unit	3764	
(Use as many sheets as necessary)				Examiner Name	Michael A. Brown	
Sheet	1	of	2	Attorney Docket Number	3006051-0005-PCT-US	

				U.S. PATENT D	OCUMENTS	
Examiner	Cite	Document Num	ber	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials'	No.1	Number-Kind Code <sup>2</sup> (if known)		MM-DD-YYYY	Applicant of Cited	Relevant Passages or Relevant
					Document	Figures Appear
		US- 3,477,425		11-11-1969	GrassI	
		US- 4,955,368		09-11-1990	Heimann	
		US- 5,180,361		01-19-1993	Moore, et al.	
		US- 5,275,581		01-04-1994	Bender	
		US- 5,437,612		08-01-1995	Moore, et al.	
		US- 5,520,619		05-28-1996	Martin	
		US- 5,593,382		01-14-1997	Rudy, Jr., et al.	
		US- 5,622,529		04-22-1997	Calabrese	
		US- 5,795,315		08-18-1998	Traut, et al.	
		US- 6,036,664		03-14-2000	Martin, Sr., et al.	
		US- 6,245,033	B1	06-12-2001	Martin	
		US- 6,726,643	B1	04-27-2004	Martin	
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO				Complete if Known		
				Application Number	10/507,058	
INFORMATION DISCLOSURE				Filing Date	September 9, 2004	
STATEMENT BY APPLICANT  (Use as many sheets as necessary)				First Named Inventor	Salvatore Calabrese	
				Art Unit	3764	
			ry)	Examiner Name	Michael A. Brown	
Sheet	2	of	2	Attorney Docket Number	3006051-0005-PCT-US	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
		International Search Report for PCT/US03/07655, dated 02 March 2004			
		European Search Report for EP03716516.4, dated 06 July 2006			
Examiner Signature		Date Considered			

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.